

# MA3205 Set Theory

- cardinal  $\rightarrow$  size
- ordinal  $\rightarrow$  order
- Zermelo-Fraenkel set theory: not everything is a set, only those expressible as  $\{x \in z : P(x)\}$  where  $z$  is a set
- Some axioms:
  - Set existence:  $\exists x (x = x)$
  - Extensionality:  $\forall x \forall y (\forall z (z \in x \leftrightarrow z \in y) \rightarrow x = y)$
  - Comprehension scheme: For any formula  $\varphi(x)$ :  $\forall z \exists y \forall x (x \in y \leftrightarrow (x \in z \wedge \varphi(x)))$
  - Pairing:  $\forall x \forall y \exists z (x \in z \wedge y \in z)$
  - Union
  - Replacement
  - Infinity
  - Foundation
  - Choice
- Unordered pair:  $\{a, b\}$
- Ordered pair:  $(a, b) := \{\{a\}, \{a, b\}\}$
- Thm:  $(a, b) = (c, d) \Leftrightarrow a = c \text{ and } b = d$
- Cartesian product:  $A \times B := \{(a, b) : a \in A \wedge b \in B\}$
- Binary relation: a set of ordered pairs
  - $\text{dom}(R) := \{x : \exists y (x, y) \in R\}$
  - $\text{ran}(R) := \{y : \exists x (x, y) \in R\}$
- Function: a binary relation s.t.  $\forall x$  there is at most one  $y$  s.t.  $(x, y) \in f$ . (i.e.  $(x, y) \in f \wedge (x, z) \in f \rightarrow y = z$ )
  - $f : A \rightarrow B$  :  $\text{dom}(f) = A$ ,  $\text{ran}(f) \subseteq B$
  - injective:  $f(x) = y$  and  $f(x') = y \Rightarrow x = x'$
  - surjective:  $\text{ran}(f) = B$
  - bijection: injective & surjective
  - $B^A$ : set of all functions from  $A$  to  $B$ .
  - composition:  $g \circ f$  :  $(g \circ f)(x) = g(f(x)) \quad \forall x \in A$ .
  - $f[X]$  :  $\{y : (\exists x \in X) f(x) = y\}$  where  $X' \subseteq X$  (the image of  $X'$  under  $f$ )
  - $f^{-1}[Y']$  :  $\{x : f(x) \in Y'\}$  where  $Y' \subseteq Y$  (the pre-image of  $Y'$  under  $f$ )
  - $f^{-1}$  :  $\{(y, x) : (x, y) \in f\}$ .  $f^{-1}$  is a relation, but not necessarily a function
  - Characteristic function: Given a fixed nonempty set  $X$ , and  $A \subseteq X$  :  $\chi_A : X \rightarrow \{0, 1\}$   

$$\chi_A(x) = \begin{cases} 1 & \text{if } x \in A \\ 0 & \text{if } x \notin A \end{cases}$$
  - $S := \langle S_i : i \in I \rangle$  is a function with domain  $I$  (it maps  $i$  to  $S_i$ ) called an indexed system of sets.
    - $A$  is indexed by  $S$  :  $A = \{S_i : i \in I\} = \text{ran}(S)$
    - $\prod S := \{F : \text{dom}(F) = I \wedge (\forall i \in I) F(i) \in S\}$  is the product of  $S$
  - Given  $R \subseteq A \times A$  (i.e.  $R$  is a binary relation in  $A$ ):
    - $R$  is reflexive:  $\forall a \in A : aRa$
    - $R$  is symmetric:  $\forall a, b \in A : aRb \Rightarrow bRa$
    - $R$  is transitive:  $\forall a, b, c \in A : aRb \text{ and } bRc \Rightarrow aRc$
    - $R$  is an equivalence:  $R$  is reflexive, symmetric, & transitive

note: these properties depend on the chosen  $A$ .

Equivalence class:  $[a]_E := \{x \in A : x E a\}$  where  $E$  is an equivalence on  $A$  and  $a \in A$

•  $A/E = \{[a]_E : a \in A\}$  (set of all equivalence classes)

•  $A/E$  is a partition of  $A$

Partition:  $S$  is a partition of  $A$  if  $S$  is a system of nonempty mutually disjoint sets whose union is  $A$ .

Given a partition  $S$ , we can construct an equivalence relation  $E_S = \{(a, b) \in A \times A : (\exists C \in S) a \in C \wedge b \in C\}$

• Equivalence Partition: Given a set  $A$ : (i.e. exactly one element per set in the partition)

• If  $E$  is an equivalence, then  $E_{A/E} = E$

• If  $S$  is a partition,  $A/E_S = S$

Antisymmetric: binary relation s.t.  $\forall a, b \in A, a R b$  and  $b R a \Rightarrow a = b$

Partial ordering: binary relation that is reflexive, antisymmetric, transitive.

Asymmetric:  $a S b \Rightarrow \neg b S a$

Strict partial ordering: asymmetric + transitive

Linear/total ordering:  $\forall x \forall y [x \leq y \vee y \leq x]$  (i.e. any two elements are comparable)

• Definitions for orderings: Given a partial ordering of  $A$ , and  $B \subseteq A$ :

•  $b \in B$  is the least element of  $B$ :  $b \leq x \forall x \in B$

•  $b \in B$  is a minimal element of  $B$ : there is no  $x \in B$  s.t.  $x \leq b$  and  $x \neq b$

•  $a \in A$  is a lower bound of  $B$ :  $a \leq x \forall x \in B$

•  $a \in A$  is the infimum of  $B$ : the greatest element in the set of all lower bounds of  $B$

$A \subseteq S$  is closed under  $f$ :  $\forall x, y \in A$  s.t.  $f(x, y)$  is defined,  $f(x, y) \in A$

Isomorphism: a relabelling of the set s.t. all relations & functions are preserved

Antichain of a partially ordered set: a subset where no two elements are comparable

Chain of a partially ordered set: a subset where any two elements are comparable

CAC thm: every infinite partially ordered set has an infinite chain or infinite antichain

Integers:  $n := \{0, 1, \dots, n-1\}$

• successor:  $S(x) := x \cup \{x\}$

Inductive set:  $\{\phi \in I$

• closed under  $S$  (i.e.  $\forall a \in I, S(a) \in I\}$ )

Axiom of infinity: an inductive set exists

Natural numbers:  $\mathbb{N} := \{x : x \in I \text{ for every inductive set } I\}$

• Lemma:  $\mathbb{N}$  is an inductive set.

Induction principle: Let  $P(x)$  be a property.

•  $P(0)$  holds  
 •  $\forall n \in \mathbb{N}, P(n) \Rightarrow P(S(n))$

$\} \Rightarrow \forall n \in \mathbb{N}, P(n)$

Ordering on  $\mathbb{N}$ :  $m < n := m \in n$

• Lemmas:  $\forall n \in \mathbb{N}, 0 \in n$

•  $\forall k, n \in \mathbb{N}, k < n+1 \Leftrightarrow k < n \text{ or } k = n$

$\begin{matrix} \uparrow \\ S(n) \end{matrix}$

Thm:  $(\mathbb{N}, <)$  is a linearly ordered set

Strong induction: Let  $P(x)$  be a property.

•  $\forall n \in \mathbb{N}, ((\forall k < n, P(k)) \Rightarrow P(n)) \Rightarrow \forall n \in \mathbb{N}, P(n)$

Well-ordering: every non-empty subset has a least element

•  $(\mathbb{N}, <)$  is a well-ordered set

- Recursion thm on  $\mathbb{N}$ : Given a set  $A$ , an element  $a \in A$ , a function  $g: A \times \mathbb{N} \rightarrow A$ ,  
there exists a unique function  $f: \mathbb{N} \rightarrow A$  s.t.  $\begin{cases} f(0) = a \\ \forall n \in \mathbb{N}, f(n+1) = g(f(n), n) \end{cases}$
- Union of sets:  $\bigcup A := \{b : \exists a (a \in A \wedge b \in a)\}$
- Axiom of union:  $\bigcup A$  is a set
- Intersection:  $\bigcap A = \{b : \forall a (a \in A \rightarrow b \in a)\}$
- Parametric version:
- $a: P \rightarrow A; g: P \times A \times \mathbb{N} \rightarrow A$
  - $f: P \times \mathbb{N} \rightarrow A$
  - $f(p, 0) = a(p) \quad \forall p \in P$
  - $f(p, n+1) = g(p, f(p, n), n), \forall n \in \mathbb{N} \quad \forall p \in P$
- Addition on  $\mathbb{N}$ : There is a unique operation  $+$ :  $\mathbb{N} \times \mathbb{N} \rightarrow \mathbb{N}$  s.t.
- $\cdot +$  is commutative (i.e.  $\forall n, m \in \mathbb{N}, m+n = n+m$ )
  - $\cdot + (0, m) = m \quad \forall m \in \mathbb{N}$
  - $\cdot + (m, S(n)) = S(+ (m, n)) \quad \forall m, n \in \mathbb{N}$
- Closure of a set: Given structure  $A = (A, f, g)$  where  $f: A \times A \rightarrow A$  and  $g: A \rightarrow A$ .
- $\bar{C} := \bigcap \{B \subseteq A : C \subseteq B \text{ and } B \text{ is closed under } f \text{ and } g\}$
  - $\underline{C} := \bigcup \{C_n : n \in \mathbb{N}\}$  where  $\begin{cases} C_0 = C \\ C_{n+1} = C_n \cup f[C_n \times C_n] \cup g[C_n] \end{cases}$
  - Thm:  $\bar{C} = \underline{C}$
- Cardinality
- $|A| \leq |B|$  := there is a one-to-one mapping from  $A$  to  $B$
  - $|A| = |B|$  (equipotent) := there is a one-to-one  $\nmid$  onto function from  $A$  to  $B$
- Cantor-Bernstein thm:  $|X| \leq |Y| \text{ and } |Y| \leq |X| \Rightarrow |X| = |Y|$
- Lemma:  $A_1 \subseteq B \subseteq A$  and  $|A_1| = |A| \Rightarrow |B| = |A|$
  - $|A| < |B| \Leftrightarrow |A| \leq |B| \text{ and } |B| \neq |A|$
  - $|A| < |B| \Leftrightarrow |A| \leq |B| \text{ and } |A| \neq |B|$
- Finite set:  $|S| = n$  for some  $n \in \mathbb{N}$ .
- Infinite set: not finite.
- Lemmas of finite sets:
- $\cdot$  If  $n \neq m$ , there is no bijection between  $n$  and  $m$ .
  - $\cdot$  If  $|S|=n$  and  $|S|=m$  then  $n=m$
  - $\cdot \mathbb{N}$  is infinite
- Countable:  $|S| = |\mathbb{N}|$
- At most countable:  $|S| \leq |\mathbb{N}|$
- Thm: any subset of a countable set is either finite or countable
- Countable union of countable sets is countable (requires AC)
- Seq( $A$ ): set of finite sequences of elements of  $A$ .
- $A$  is countable  $\Rightarrow \text{Seq}(A)$  is countable
- $\aleph_0 := |\mathbb{N}|$
- Cantor's thm:  $|2^{\mathbb{N}}| = |\mathcal{P}(\mathbb{N})| > |\mathbb{N}|$  ↗ an equivalence relation
- $(A, \leq)$  and  $(B, \leq)$  are similar (i.e. have the same order type) if they are isomorphic (note: we are talking about linear orders)
- Finite linearly-ordered sets with the same size are similar
- $(X, \leq)$  is dense:  $X$  has at least 2 elements and  $\forall a, b \in X, a < b \Rightarrow \exists x \in X$  s.t.  $a < x < b$
- Thm dense linear orderings: Any two countable dense linearly ordered sets without endpoints are similar.
- Thm even for non-dense countable linear orderings: Every countable linearly ordered set is isomorphic to any countable dense linearly ordered set without endpoints.
- Integers:  $\mathbb{Z} :=$  set of all equivalence classes of  $\mathbb{N} \times \mathbb{N}$  modulo  $\sim$  linearly ordered set without endpoints.
- $\cdot [(a, b)] \sim_{\mathbb{Z}} [(c, d)] := a+d \leq_{\mathbb{N}} b+c$   $(a, b) \sim (c, d) := a+d = b+c$
  - $\cdot \mathbb{Z}$  is countable  $[(a, b)] +_{\mathbb{Z}} [(c, d)] = [(a+c, b+d)]$
  - $\cdot [(a, b)] \cdot_{\mathbb{Z}} [(c, d)] = [(ac+bd, ad+bc)]$
  - $\cdot -[(a, b)] = [(b, a)]$
- Embedding of  $A$  into  $B$ : an injective function  $f: A \rightarrow B$  that preserves properties
- $\cdot$  E.g. embedding  $\mathbb{N}$  into  $\mathbb{Z}$ :  $f(n) := [(n, 0)]$  is injective  $\nmid$  order-preserving
  - $\cdot -[(a, b)] = [(b, a)]$
- Rational numbers:  $\mathbb{Q} :=$  set of equivalence classes of  $\mathbb{Z} \times (\mathbb{Z} - \{0\})$  modulo  $\sim$
- $\cdot \mathbb{Q}$  is countable
  - $\cdot (\mathbb{Q}, \leq_{\mathbb{Q}})$  is a dense linearly ordered set without endpoints  $(a, b) \sim (c, d) := ad = bc$
- Complete dense linearly ordered set  $(P, \leq)$ : every  $S \subseteq P$  bounded from above has a supremum in  $P$ .
- $\cdot \mathbb{Q}$  is not complete (e.g. because  $\{x \in \mathbb{Q}, x^2 < 2\}$  has no supremum)
- Dedekind cut: subset  $A \subseteq \mathbb{Q}$  s.t. :
- (1)  $A \neq \emptyset$  and  $A \neq \mathbb{Q}$
  - (2)  $p \in A$  and  $q < p \Rightarrow q \in A$
  - (3)  $A$  does not have a greatest element

Real numbers:  $\mathbb{R} :=$  set of all Dedekind cuts in  $\mathbb{Q}$ .

$A <_{\mathbb{R}} B := A \subset B$

$i: \mathbb{Q} \rightarrow \mathbb{R} : p \mapsto \{q \in \mathbb{Q} : q < p\}$  is injective and order-preserving

$\mathbb{Q}$  is dense in  $\mathbb{R}$

$\mathbb{R}$  does not have endpoints

$\mathbb{R}$  is complete

$A +_{\mathbb{R}} B := \{p +_{\mathbb{Q}} q : p \in A \text{ and } q \in B\}$

$A \cdot_{\mathbb{R}} B := \begin{cases} O_{\mathbb{R}} \cup \{rs : 0 \leq r \in \mathbb{R} \text{ and } 0 \leq s \in \mathbb{R}\} & \text{if } x \text{ and } y \text{ are both nonnegative or both negative} \\ -(|A| \cdot_{\mathbb{R}} |B|) & \text{otherwise} \end{cases}$

Open & Closed subsets of  $\mathbb{R}$ :  $A \subseteq \mathbb{R}$  is open :  $\forall a \in A, \exists \delta > 0$  s.t.  $\forall x \in \mathbb{R}, (|x-a| < \delta \Rightarrow x \in A)$

$B \subseteq \mathbb{R}$  is closed :  $\mathbb{R} \setminus B$  is open

Every system of mutually disjoint open intervals in  $\mathbb{R}$  is at most countable i.e.  $(a-\delta, a+\delta) \subseteq A$

Every open set is a union of a system of open intervals with rational endpoints

$a \in \mathbb{R}$  is an accumulation point of  $A \subseteq \mathbb{R} : \forall \delta > 0, \exists x \in A \setminus \{a\}$  s.t.  $|x-a| < \delta$

$a \in A$  is an isolated point of  $A \subseteq \mathbb{R} : \exists \delta > 0$  s.t.  $\forall x \in A \setminus \{a\}, |x-a| \geq \delta$

$A \subseteq \mathbb{R}$  is closed  $\Leftrightarrow$  all accumulation points of  $A$  belong to  $A$ .

$A \subseteq \mathbb{R}$  is perfect:  $A \neq \emptyset$  and  $A$  is closed without isolated points.

Cantor set:  $F := \bigcap_{n \in \mathbb{N}} F_n$  where  $F_n := \bigcup \{D_s : s \in \{0,1\}^n\}$  where

$|F| = 2^{\aleph_0}$  (Pf: Find a bijection from  $\{0,1\}^{\mathbb{N}}$  onto  $F$ )

$F$  is perfect

$[0,1] \setminus F$  is dense in  $[0,1]$

$$\begin{cases} D_{<} = [0,1] \\ D_{(s_0, \dots, s_{n-1}, 0)} = [a, a + \frac{1}{3}(b-a)] \\ D_{(s_0, \dots, s_{n-1}, 1)} = [a + \frac{2}{3}(b-a), b] \end{cases}$$

Cardinal addition: If  $K = |A|$  and  $\lambda = |B|$  and  $A \cap B = \emptyset$  then  $K + \lambda = |A \cup B|$

Cardinal multiplication: If  $K = |A|$  and  $\lambda = |B|$  then  $K \cdot \lambda = |A \times B|$

Cardinal exponentiation: If  $K = |A|$  and  $\lambda = |B|$  then  $K^\lambda = |A^B|$

Lemmas:  $\begin{array}{l} K + \lambda = \lambda + K \\ K \cdot \lambda = \lambda \cdot K \end{array} \quad \left. \begin{array}{l} \text{Commutativity of addition} \\ \text{Commutativity of multiplication} \end{array} \right\}$

$\begin{array}{l} K + (\lambda + \mu) = (K + \lambda) + \mu \\ K \cdot (\lambda \cdot \mu) = (K \cdot \lambda) \cdot \mu \end{array} \quad \left. \begin{array}{l} \text{Associativity of addition} \\ \text{Associativity of multiplication} \end{array} \right\}$

$\begin{array}{l} K \cdot (\lambda + \mu) = K \cdot \lambda + K \cdot \mu \\ K^{\lambda + \mu} = K^\lambda \cdot K^\mu \end{array} \quad \left. \begin{array}{l} \text{Distributivity of addition over multiplication} \\ \text{Distributivity of multiplication over addition} \end{array} \right\}$

$(K^\lambda)^\mu = K^{\lambda \cdot \mu}$

$(K \cdot \lambda)^\mu = K^\mu \cdot \lambda^\mu$

$(K \cdot \lambda)^\mu = K^\mu \cdot \lambda^\mu$

Cantor's thm: For any set  $X$ :  $|X| < |P(X)| = |2^X|$

$|\mathbb{R}| = 2^{\aleph_0}$

Theorems:

$$n + 2^{\aleph_0} = \aleph_0 + 2^{\aleph_0} = 2^{\aleph_0} + 2^{\aleph_0} = 2^{\aleph_0} \quad \forall n \in \mathbb{N}$$

$$n \cdot 2^{\aleph_0} = \aleph_0 \cdot 2^{\aleph_0} = 2^{\aleph_0} \cdot 2^{\aleph_0} = 2^{\aleph_0} \quad \forall n \in \mathbb{N} \setminus \{0\}$$

$$(2^{\aleph_0})^n = (2^{\aleph_0})^{\aleph_0} = 2^{\aleph_0} \quad \forall n \in \mathbb{N} \setminus \{0\}$$

$$n^{\aleph_0} = \aleph_0^{\aleph_0} = 2^{\aleph_0} \quad \forall n \in \mathbb{N} \setminus \{0, 1\}$$

Thm:  $|\mathbb{R} \times \mathbb{R}| = |\mathbb{R}|$

- The set of all open subsets of  $\mathbb{R}$  has cardinality  $2^{\aleph_0}$ .
- The set of all functions  $\mathbb{R} \rightarrow \mathbb{R}$  has cardinality  $2^{2^{\aleph_0}} > 2^{\aleph_0}$ .
- Continuum Hypothesis: There is no uncountable cardinal  $\kappa$  s.t.  $\kappa < 2^{\aleph_0}$ .
- Every perfect set has cardinality  $2^{\aleph_0}$ .
- Lemmas about perfect sets:
  - Every perfect set contains two smaller perfect sets (For each perfect set  $F$ ,  $\exists A, B \subseteq F$  s.t.  $A \cap B = \emptyset$  and  $A, B$  are perfect)
  - Every perfect set contains a perfect subset of arbitrarily small diameter (For each perfect set  $F$  and each  $n \in \mathbb{N}$ ,  $\exists H = H(F, n) \subseteq F$  that is perfect and has diameter  $\leq \frac{1}{n}$ )
 
$$\text{diam}(A) := \sup(A) - \inf(A)$$
- Every uncountable closed set contains a perfect subset.
- Every closed set has at most countably many isolated points.
- Derivative of a set  $A \subseteq \mathbb{R}$ :  $A' :=$  set of all accumulation points of  $A$ .
  - $A$  is closed  $\Leftrightarrow A' \subseteq A$
  - $A$  is perfect  $\Leftrightarrow A \neq \emptyset$  and  $A' = A$ .
  - $A'$  is closed
  - If  $F$  is closed, then  $F - F'$  is at most countable
- Cantor derivatives:
  - $A^{(0)} = A$
  - $A^{(\alpha+1)} = (A^{(\alpha)})'$
  - $A^{(\alpha)} = \bigcap_{\xi < \alpha} A^{(\xi)}$  where  $\alpha$  is a limit
- Initial segment  $S$  of a linearly ordered set  $(L, <)$ :  $S \subseteq L$  and  $\forall a \in S, (x < a \Rightarrow x \in S)$
- $(W, <)$  is well-ordered: every non-empty subset of  $W$  has a least element.
  - $(\mathbb{N}, <)$  is well-ordered
  - $(\mathbb{Z}, <)$  is not well-ordered
- Initial segment being determined by a single element: If  $(W, <)$  is a well-ordered set and  $S$  is an initial segment of  $(W, <)$ , then  $\exists a \in W$  s.t.  $S = \{x \in W : x < a\}$ .
- Increasing function  $f: W \rightarrow W$  on well-ordered set  $W$ : For all  $x \in W$ ,  $f(x) \geq x$ .
 

Pf: By showing that  $X := \{x \mid f(x) < x\}$ . (if not, then let  $a$  be the least element in  $X$ , so  $f(a) < a$ , so  $f(f(a)) < f(a)$ . Contradiction.)

Cor:

  - no well-ordered set is isomorphic to an initial segment of itself
  - each well-ordered set has only one automorphism (the identity function)
  - if  $(W_1, <) \cong (W_2, <)$  then the isomorphism is unique
- Isomorphism thm of well-ordered sets: If  $(W_1, <)$  and  $(W_2, <)$  are well-ordered sets then exactly one of the following holds:
  - $W_1$  and  $W_2$  are isomorphic
  - $W_2$  is isomorphic to an initial segment of  $W_1$
  - $W_1$  is isomorphic to an initial segment of  $W_2$

} in any case, the isomorphism is unique
- Set  $T$  is transitive:  $u \in v \in T \Rightarrow u \in T$  (i.e. every element of  $T$  is also a subset of  $T$ )
- Set  $\alpha$  is an ordinal:  $\alpha$  is transitive  $\wedge$   $\alpha$  is well-ordered by  $\in_\alpha$ 
  - every natural number is an ordinal
  - $w = \mathbb{N}$  is an ordinal
  - $\alpha + 1 := S(\alpha) := \alpha \cup \{\alpha\}$  is an ordinal
  - an ordinal  $\alpha$  is a successor ordinal if  $\exists \beta$  s.t.  $\alpha = \beta + 1$
  - an ordinal  $\alpha$  is a limit ordinal otherwise
  - $\alpha < \beta := \alpha \in \beta$  satisfies asymmetry  $\wedge$  transitivity, so it is a strict partial order
  - To show that  $\alpha$  is a limit ordinal, it suffices to show that  $\forall \beta < \alpha, \beta + 1 < \alpha$ .
- Lemmas:
  - For any ordinal  $\alpha$ ,  $\alpha \notin \alpha$  satisfies trichotomy (exactly one of  $\alpha < \beta, \alpha = \beta, \beta < \alpha$  holds), so it is a linear order
  - For any ordinal  $\alpha$ ,  $\forall x \in \alpha, x$  is an ordinal and has a least element, so it is a well-order
  - For any ordinals  $\alpha \notin \beta$ ,  $\alpha \subset \beta \Rightarrow \alpha \in \beta$
- Ordinals is a class: For any set of ordinals  $X$ ,  $\exists$  ordinal  $\alpha$  s.t.  $\alpha \notin X$  (i.e. "the set of all ordinals" does not exist)

$W_1$  has smaller order type than  $W_2 : W_1 \cong W_2[a]$  for some  $a \in W_2$

well-ordered sets

Axiom schema of replacement: If for every  $x$  there is a unique  $y$  in which  $P(x, y)$  holds :  
then for every set  $A$ , there is a set  $B$  s.t.  $\forall x \in A, \exists y \in B$  s.t.  $P(x, y)$  holds.

Every well-ordered set is isomorphic to a unique ordinal

Transfinite induction principle: Given a property  $P(x)$ :

If for any ordinal  $\alpha$ ,  $((\forall \beta < \alpha : P(\beta)) \Rightarrow P(\alpha))$

then  $P(\alpha)$  holds for all ordinals  $\alpha$ .

kind of like strong induction

Transfinite induction principle (easier version): Given a property  $P(x)$ :

If:  $P(0)$  holds

$\cdot P(\alpha) \Rightarrow P(\alpha+1)$  for all ordinal  $\alpha$

$\cdot$  For all limit ordinals  $\alpha$ , if  $P(\beta)$  holds for all  $\beta < \alpha$ , then  $P(\alpha)$  holds

Then  $P(\alpha)$  holds for all ordinals  $\alpha$ .

Transfinite recursion theorem: If  $G$  is an operation defined on the class of all sets, then there is a unique operation  $F$  defined on the class of all ordinals s.t. for all ordinals  $\alpha$ ,  $F(\alpha) = G(F \upharpoonright \alpha)$ .  
(in other words,  $G$  is used to "generate" each  $F(\alpha)$  from the  $F(\beta)$  where  $\beta < \alpha$ )

Transfinite recursion theorem (easier version): If  $G_1, G_2, G_3$  are operations, then there is a unique operation  $F$  defined on the class of all ordinals s.t.  $F(0) = G_1()$

$\cdot F(\alpha+1) = G_2(\alpha, F(\alpha))$

$\cdot F(\alpha) = G_3(\{(\beta, F(\beta)) : \beta < \alpha\})$  if  $\alpha \neq 0$  is a limit ordinal

Operations on ordinals:

Addition:  $\cdot \beta + 0 = \beta$

$\cdot \beta + (\alpha+1) = (\beta + \alpha) + 1$

$\cdot \beta + \alpha = \sup \{\beta + \gamma : \gamma < \alpha\}$  for all limit  $\alpha \neq 0$  (note:  $1 + \omega = \omega \neq \omega + 1$ )

$\cdot \alpha_1 < \alpha_2 \iff \beta + \alpha_1 < \beta + \alpha_2$  (left cancellation law)

$\cdot \alpha_1 = \alpha_2 \iff \beta + \alpha_1 = \beta + \alpha_2$

$\cdot (\alpha + \beta) + \gamma = \alpha + (\beta + \gamma)$  (associative law)

Multiplication:  $\cdot \beta \cdot 0 = 0$

$\cdot \beta \cdot (\alpha+1) = \beta \cdot \alpha + \beta$

$\cdot \beta \cdot \alpha = \sup \{\beta \cdot \gamma : \gamma < \alpha\}$  for all limit  $\alpha \neq 0$  (note:  $2 \cdot \omega = \omega \neq \omega \cdot 2 = \omega \cdot 1 + \omega = \omega + \omega$ )

Exponentiation:  $\cdot \beta^0 = 1$

$\cdot \beta^{\alpha+1} = \beta^\alpha \cdot \beta$

$\cdot \beta^\alpha = \sup \{\beta^\gamma : \gamma < \alpha\}$  for all limit  $\alpha \neq 0$  (note:  $2^\omega = \sup \{2^n : n < \omega\} = \omega$ )

$\alpha$  is an initial ordinal:  $\alpha$  is not equipotent to any  $\beta < \alpha$ .

↑ cardinal exponentiation is totally different!

E.g. all the natural numbers,  $\omega$ , etc

but not  $\omega+1, \omega+\omega$ , etc

Cardinal number of a well-orderable set  $X$ : the unique initial ordinal in which  $X$  is equipotent to.

Hartogs number of  $A$ :  $h(A) :=$  least ordinal which is not equipotent to any (not necessarily proper) subset of  $A$ .  
(i.e. least ordinal for which there is no injection to  $A$ )

$\cdot h(A)$  is always an initial ordinal

$\cdot h(A)$  exists for all  $A$ .

$\cdot \omega_0 := \omega$

$\cdot \omega_{\alpha+1} := h(\omega_\alpha)$

$\cdot \omega_\alpha := \sup \{\omega_\beta : \beta < \alpha\}$  if  $\alpha$  is a limit ordinal

From ordinals to cardinals: • for all ordinal  $\alpha$ ,  $\aleph_\alpha$  is an infinite initial ordinal

• for all ordinal  $\alpha$ ,  $\alpha \leq \omega_\alpha$

• if  $\Omega$  is an infinite initial ordinal, then  $\Omega = \omega_\alpha$  for some  $\alpha$ .

$$\cdot \aleph_\alpha = \omega_\alpha$$

$$\cdot (\alpha_1, \alpha_2) \prec (\beta_1, \beta_2) := \begin{cases} \max\{\alpha_1, \alpha_2\} < \max\{\beta_1, \beta_2\} & \text{or} \\ \max\{\alpha_1, \alpha_2\} = \max\{\beta_1, \beta_2\} \text{ and } \alpha_1 < \beta_1 & \text{or} \\ \max\{\alpha_1, \alpha_2\} = \max\{\beta_1, \beta_2\} \text{ and } \alpha_1 = \beta_1 \text{ and } \alpha_2 < \beta_2 \end{cases}$$

↑  
is a well-ordering

$$\cdot \aleph_\alpha \cdot \aleph_\alpha = \aleph_\alpha \text{ for every } \alpha.$$

$$\cdot \aleph_\alpha \cdot \aleph_\beta = \aleph_\beta \text{ for every } \alpha \leq \beta$$

$$\cdot n \cdot \aleph_\alpha = \aleph_\alpha \text{ for every } \alpha$$

$$\cdot \aleph_\alpha + \aleph_\beta = \aleph_\beta \text{ for every } \alpha \leq \beta$$

$$\cdot n + \aleph_\alpha = \aleph_\alpha \text{ for every } \alpha$$

Choice function on  $S$ : any function  $g$  s.t.  $g(X) \in X$  for all nonempty  $X \in S$ .

i.e. it chooses one element from the set.

Thm well-ordering given choice: If  $P(A)$  has a choice function, then  $A$  can be well-ordered.

$$\text{PF: } g(x) := \begin{cases} g(A - \text{ran}(x)), & \text{if } A - \text{ran}(x) \neq \emptyset \\ a & \text{otherwise} \end{cases}$$

Thm choice given well-ordering: If  $A$  can be well-ordered, then  $P(A)$  has a choice function.

$$\text{PF: } g(x) := \begin{cases} \text{the } \prec\text{-least element of } x, & \text{if } x \neq \emptyset \\ \emptyset & \text{otherwise} \end{cases}$$

Every finite system of sets has a choice function

PF: By induction on the number of sets in the system

Axiom of Choice: there is a choice function for every system of sets

TFAE:

• Axiom of choice

• Every partition has a set of representatives

• Every set can be well-ordered

• Zorn's lemma

Zorn's Lemma: If every chain in a partially ordered set has an upper bound, then the partially ordered set has a maximal element

In a partially ordered set  $(X, \prec)$ , a subset  $C \subseteq X$  is a chain in  $X$  iff  $(C, \prec)$  is linearly ordered

Consequences of Axiom of Choice:

• Every infinite set  $A$  has a countable subset  $C$

• For every infinite set  $S$  there exist a unique  $\aleph_\alpha$  s.t.  $|S| = \aleph_\alpha$

• For any sets  $A$  and  $B$ , either  $|A| \leq |B|$  or  $|B| \leq |A|$

• For any function  $f$  and set  $A$ ,  $|f[A]| \leq |A|$

• Every vector space has a basis

↑  
restricted to  $A$

Decomposition of closed set into perfect set: If  $F$  is a closed set of reals, then there exists an at most countable ordinal  $\Theta$  s.t.

(a) For every  $\alpha < \Theta$ ,  $F^{(\alpha)} \setminus F^{(\alpha+1)}$  is nonempty & at most countable

(b)  $F^{(\Theta+1)} = F^{(\Theta)}$

(c)  $F^{(\Theta)}$  is either empty or perfect

(d)  $F \setminus F^{(\Theta)}$  is at most countable

Every uncountable closed set can be decomposed into a perfect set and an at most countable set.